Due to the current heightened security level at all our premises, Members are reminded to wear their identity badges whilst attending meetings. Any visitors <u>must</u> produce photographic identification at Reception.

FIRE & RESCUE AUTHORITY SUMMONS

SOUTH WALES FIRE & RESCUE AUTHORITY

You are required to attend a meeting of the Standards Committee to be held on:

Monday, 3 October 2022 at 1630 hours

In person at South Wales Fire & Rescue Service Headquarters, Forest View Business Park, Llantrisant, CF72 8LX

or

Remotely via Microsoft Teams - Access Code: https://bit.ly/Standards-Committee-03-10-22

Please ensure you join the meeting 15 minutes prior to meeting time

Any issues please contact
01443 232000 and ask for Member Services

AGENDA

- 1. Apologies for Absence
- 2. Declarations of Interest

Members of the Fire & Rescue Authority are reminded of their personal responsibility to declare both orally and in writing any personal and/or prejudicial interest in respect of matters contained in this agenda in accordance with the provisions of the Local Government Act 2000, the Fire & Rescue Authority's Standing Orders and the Members Code of Conduct.

3. Chairperson's Announcements

4.	To receive the minutes of:	
	 Standards Committee held on Monday 7 February 2022 	5
	REPORTS FOR DECISION	
5.	Review of Terms of Reference of the Standards Committee	11
6.	Schedule of observation visits by Independent Members of Standards Committee to SWFRA Committees	19
	REPORTS FOR INFORMATION	
7.	Member Training Delivered by Dave Daycock – to be presented verbally on the day	21
8.	Public Service Ombudsman for Wales' – Code of Conduct Casebook	23
9.	Update regarding the All Wales Standards Forum – to be presented verbally on the day	63
10.	Outcome report following the consultation on Welsh Government's Standards of Conduct Statutory Guidance.	65

Signature of Monitoring Officer:

MEMBERSHIP

Councillors:

Cllr	G	Holmes	Labour
Cllr	S	Melbourne	Conservative
Cllr	Α	Roberts	Labour
Mr	R	Alexander	Independent Lay Member
Mr	S	Barnes	Independent Lay Member
Mr	D	Fussell	Independent Lay Member
Dr	М	Kerbey	Independent Lay Member
Ms	K	Thorogood	Independent Lay Member

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SOUTH WALES FIRE & RESCUE AUTHORITY

MINUTES OF THE STANDARDS COMMITTEE MEETING HELD ON MONDAY 7 FEBRUARY 2022 AT SOUTH WALES FIRE & RESCUE SERVICE HEADQUARTERS

104. PRESENT:

Mr G Hughes (Chair)

Mr R Alexander

Mr S Barnes

Dr M Kerbey

Independent Lay Member

Independent Lay Member

Independent Lay Member

Independent Lay Member

Councillor A Roberts South Wales Fire & Rescue Authority
Councillor J Williams (Joined at 1700) South Wales Fire & Rescue Authority

APOLOGIES:

Mr D Fussell Independent Lay Member
Councillor G Holmes South Wales Fire & Rescue Authority
DCO S Chapman Monitoring Officer

OFFICERS PRESENT: - Temporary ACO G Thomas – Director of Corporate Services, Mrs S Watkins – Deputy Monitoring Officer & Head of Corporate Support

105. DECLARATIONS OF INTEREST

No declarations of interest were made.

106. MINUTES OF PREVIOUS MEETING

The minutes of the Standards Committee meeting held on 1 February 2021, were received and accepted as a true record of proceedings.

The Chair stated that he was disappointed that the meeting had to be held remotely by Starleaf again due to the Covid pandemic. He took the opportunity to highlight various models used by other organisations, and whether they would continue with the hybrid module going forward.

107. INDEPENDENT REVIEW OF THE ETHICAL STANDARDS FRAMEWORK

The Temporary ACO Corporate Services informed Members that an independent review of the Ethical Standards Framework for Local Government was commissioned to ensure that it remained fit for purpose, was open and transparent, and that it commanded the confidence of all involved with the framework. The findings and recommendations of the first phase of the review was attached to the report at Appendix 1.

RESOLVED THAT

- 107.1 Following lengthy discussion on introducing an extra meeting per year, as well as mandatory training with a more structured approach, Members agreed to consider and note the contents of the report.
- Officers agreed to include an additional meeting in the calendar year, and would provide further information in due course.
- 107.3 With reference to Members of the Standards Committee attending Fire & Rescue Authority meetings periodically as observers, Officers agreed to provide dates of when future meetings would be held, as well as copies of the reports.

108. PUBLIC SERVICES OMBUDSMAN FOR WALES - ANNUAL REPORT AND ACCOUNTS 2020/21

The Head of Corporate Support informed Members that the presented report included extracts from the Annual Report and Accounts for the Public Services Ombudsman for Wales for the year ending 31 March, 2021, in respect of Members Code of Conduct matters.

RESOLVED THAT

- 108.1 Members agreed to note the content of the report.
- 108.2 Following discussion on Key Performance Indicators contained within the report, Members agreed that they

would prefer 'Equality and Respect' complaints to be broken down into separate areas.

Councillor Williams joined the meeting at 1700 hours.

109. PUBLIC SERVICES OMBUDSMAN FOR WALES - UPDATED GUIDANCE ON THE CODE OF CONDUCT

The Temporary ACO Corporate Services presented a report which informed Members of the Public Service Ombudsman for Wales's consultation on the revised guidance and associated consultation which set out Members obligations under the Code of Conduct.

RESOLVED THAT

- 109.1 Members agreed to note the content of the report.
- 109.2 With reference to 'Equality' obligations under the Code of Conduct, Members reiterated that they would prefer this item to be broken down into specific areas.
- 109.3 Following discussion, Officers confirmed that all Fire & Rescue Authority meetings were open to the public and published on the Service's website with recordings of the individual meetings.

110. PUBLIC SERVICES OMBUDSMAN FOR WALES - CODE OF CONDUCT CASEBOOK - ISSUES 24 AND 25

The Head of Corporate Support presented a report which informed Members of the Public Services Ombudsman for Wales's Code of Conduct Casebook, Issues 24 and 25.

RESOLVED THAT

Members agreed to note the content of the Ombudsman Code of Conduct Casebook, Issues 24 and 25, published by the Public Services Ombudsman for Wales.

111. ADJUDICATION PANEL FOR WALES - ANNUAL REPORT 2020/21

The Head of Corporate Support presented a report which advised Members of the Adjudication Panel for Wales Annual Report 2020/2021.

RESOLVED THAT

Following discussion on the number of low appeals and cases in general received over the last 4 years, Members agreed to note the Adjudication Panel for Wales Annual Report 2020/2021.

112. INDEPENDENT REMUNERATION PANEL FOR WALES DRAFT ANNUAL REPORT – FEBRUARY 2022

The Temporary ACO Corporate Services presented a report which brought Members attention to the relevant sections of the Independent Remuneration Panel for Wales Draft Annual Report that related to payments to Members of Welsh Fire & Rescue Authorities.

RESOLVED THAT

- 112.1 Members agreed to note the extract of the Independent Remuneration Panel's Draft Annual Report attached at Appendix 1, which provided details of payments to Members of Welsh Fire & Rescue Authorities.
- With reference to Determinations 38 and 39 within the report, stating that Members could claim pre-meeting preparation time, as well as travelling time to attend meetings, Officers agreed to clarify whether these were new Determinations, and to provide Members with a response via e-mail as soon as possible.

113. DRAFT STANDARDS COMMITTEE ANNUAL REPORT 2021/2022

The Head of Corporate Support presented a report for Members decision. She advised that as a matter of good corporate governance it was stated in the 2020/2021 Annual Governance Statement to introduce an annual report on the work of the

Standards Committee, in order to provide the Authority with an update on its activities during each financial year.

RESOLVED THAT

- 113.1 Following Members consideration and comments, they approved the proposed format for the inaugural Standards Committee Annual Report in order to enable publication with effect from 5 May 2022.
- 113.2 Following a question and answer session, Officers agreed to include further context and commentary into the Draft Standards Committee Annual Report, and would send a copy to Members for their final approval.
- 113.3 Follow further debate, Members unanimously agreed that their photographs and the date that they joined the Committee could be included in the Standards Committee Annual Report. More expansive profiles could be considered in the future.

114. ELECTION OF NEW CHAIRPERSON OF THE STANDARDS COMMITTEE

The outgoing Chair informed Members that after serving 12 years on the Standards Committee he sadly had to give up the role and ask Members to elect a new Independent Lay Member as their Chairperson.

Following discussion on the level of commitment, Mr R Alexander was nominated and seconded. There were no other nominations.

RESOLVED THAT

- Mr R Alexander was unanimously duly elected Chair of the Standards Committee for a period of no less than 4 years, and no more than 6 years.
- The newly appointed Chair responded by thanking Members for their unanimous vote of confidence, and confirmed that he would take up the role as from August 2022, when the outgoing Chair would have completed his full term in office.

The outgoing Chair also confirmed that he would sit on the selection process to assist in appointing a new Independent Lay Member.

Members and Officers took the opportunity to thank the outgoing Chair for his 12 years of excellent dedicated service on behalf of the Standards Committee, with 6 years being as Chair.

The outgoing Chair responded by thanking the group for their kind words and stated that it had been an honour and a privilege to be a Member of the Standards Committee, and thanked Members and Officers for their invaluable support throughout his term in office.

THIS REPORT IS NOT EXEMPT AND IN THE PUBLIC DOMAIN

SOUTH WALES FIRE & RESCUE AUTHORITY

AGENDA ITEM NO 5 3 OCTOBER 2022

STANDARDS COMMITTEE

REPORT OF TEMPORARY DIRECTOR OF CORPORATE SERVICES

REVIEW OF TERMS OF REFERENCE OF THE STANDARDS COMMITTEE

THIS REPORT IS FOR DECISION

REPORT APPROVED BY TEMPORARY DIRECTOR OF CORPORATE SERVICES

REPORTED PRESENTED BY TEMPORARY DIRECTOR OF CORPORATE SERVICES

SUMMARY

This report requests Members to consider and review the current Terms of Reference of the Standards Committee and agree Terms of Reference going forward.

RECOMMENDATIONS

That Members review and agree the Terms of Reference of the Standards Committee.

1. BACKGROUND

1.1 The Terms of Reference of the Standards Committee, attached as Appendix 1, were last reviewed in 2012.

2. ISSUE

- 2.1 As the first meeting of the Standards Committee for municipal year 2022/23, it is considered timely for Members to consider and review the Terms of Reference to ensure they remain suitable and fit for purpose; and agree Terms of Reference going forward.
- 2.2 Appendix 2 contains draft Terms of Reference for the Standards Committee which update the references to Fire & Rescue Authority Members in place of Councillors, and contains additional items to cover the overview of complaints handling procedures and the production of an annual report.

3. IMPLICATIONS

3.1 **Community and Environment**

Equality, Diversity and Inclusion	No
Welsh Language	No
Well-Being Of Future Generations (Wales) Act	No
Socio Economic Duty	No
Sustainability / Environment / Carbon Reduction	No
Safeguarding	No
Consultation and Communications	No
Consultation with Representative Bodies	No
Impact Assessment	No

3.2 Regulatory, Strategy and Policy

Legal	Yes
Financial	No
Procurement	No
Corporate Risk	No
Information Management	No
Data Protection / Privacy	No
Health, Safety and Wellbeing	No
Governance & Audit	Yes
Service Policy	No
National Policy	No

3.3 Resources, Assets and Delivery

Human Resources and People Development	No
Assets and Resources (Property/Fleet/ICT/Equipment)	No
Service Delivery	No
Procurement	No
Budget Revenue/Capital	No

4. **RECOMMENDATIONS**

4.1 That Members review and agree the Terms of Reference of the Standards Committee.

Contact Offic	er:	T/ACO Geraint Thomas				
		T/Director of Corporate Services				
Background I	Papers	Date	Source/Contact			
Appendices						
Appendix 1	Terms of	of Reference of the Standards Committee				
Appendix 2	Draft Te	erms of Refer	ence of	the Standards Committee		

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APPENDIX 1

SOUTH WALES FIRE & RESCUE AUTHORITY

TERMS OF REFERENCE

STANDARDS COMMITTEE

1.	Promoting and maintaining high standards of conduct by Councillors and Co-opted Members.	FA 26.03.12 Min No. 131.1
2.	Assisting Councillors and Co-opted Members to observe the Members Code of Conduct.	FA 26.03.12 Min No. 131.1
3.	Advising the Authority on the adoption or revision of the Members Code of Conduct.	FA 26.03.12 Min No. 131.1
4.	Monitoring the operation of the Members Code of Conduct.	FA 26.03.12 Min No. 131.1
5.	Advising, training or arranging to train Councillors and Co-opted Members on matters relating to the Members Code of Conduct.	FA 26.03.12 Min No. 131.1
6.	Granting dispensations to Councillors relating to interests set out in the Members Code of Conduct.	FA 26.03.12 Min No. 131.1
7.	Dealing with any reports from a case tribunal or interim case tribunal, and any report from the monitoring officer on any matter referred to that officer by the Local Commissioner in Wales.	FA 26.03.12 Min No. 131.1
8.	Review, monitor and challenge the content and effectiveness of the Authority's policies, procedures, guidance notes and other documents designed to prevent breaches of the Bribery Act 2010 within the Organisation and to make recommendations to improve these to reduce the risks to the Authority.	FA 26.03.12 Min No. 131.1

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APPENDIX 2

SOUTH WALES FIRE & RESCUE AUTHORITY

DRAFT TERMS OF REFERENCE

STANDARDS COMMITTEE

The Standards Committee will have the following roles and functions:

- 1. Promoting and maintaining high standards of conduct by Fire & Rescue Authority Members and co-opted Members of the Authority.
- 2. Assisting the Fire & Rescue Authority Members and co-opted Members to observe the Members' Code of Conduct.
- 3. Advising the Fire & Rescue Authority on the adoption or revision of the Members' Code of Conduct.
- 4. Monitoring the operation of the Members' Code of Conduct.
- 5. Advising, training or arranging to train Fire & Rescue Authority Members and coopted Members on matters relating to the Members' Code of Conduct.
- 6. Granting dispensations to Fire & Rescue Authority Members relating to interests set out in the Members' Code of Conduct.
- 7. Dealing with any reports from a case tribunal or interim case tribunal and any report from the Monitoring Officer on any matter referred to that officer by the Local Commissioner in Wales
- 8. Review, monitor and challenge the content and effectiveness of the Authority's policies, procedures, guidance notes and other documents designed to prevent breaches of the Bribery Act 2010 within the organisation and to make recommendations to improve these to reduce risk to the Authority.
- 9. Overview of complaints handling and Ombudsman investigations.
- 10. Make an Annual Report to Full Fire & Rescue Authority describing how the Committee's functions have been discharged during the financial year.
- 11. Maintain an overview of the operation and maintenance of the Authority's complaints handling procedures insofar as they relate to issues concerning Members.

ANNUAL REPORT

12. As soon as possible after the end of each financial year, the Standards Committee must make an annual report to the Fire & Rescue Authority.

- 13. The annual report must include:
 - 13.1 a description of how the Standards Committee has discharged its functions.
 - 13.2 a summary of any reports and recommendations that were referred to the Standards Committee under Chapter 3 of Part 3 of the Local Government Act 2000.
 - 13.3 a summary of the actions that the Standards Committee has taken following consideration of the reports and recommendations referred to in 13.2 above.
 - 13.4 a summary of any notices that were given to the Standards Committee under Chapter 4 of Part 4 of the Local Government Act 2000; and
 - 13.5 the Standards Committee's assessment of the extent to which Members on the Fire & Rescue Authority have complied with their duties to promote and maintain high standards of conduct and to co-operate with the Standards Committee in the exercise of the Standards Committee's functions; and
 - 13.6 any recommendations which the Standards Committee considers it appropriate to make to the Fire & Rescue Authority about any matter which falls within the Committee's functions.

WORK PROGRAMME

14. The Committee will prepare a work programme which will be reviewed and approved at each Committee meeting.



AGENDA & COMMITTEE CALENDAR 2022-2023

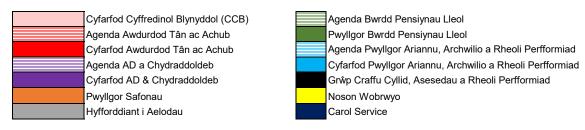


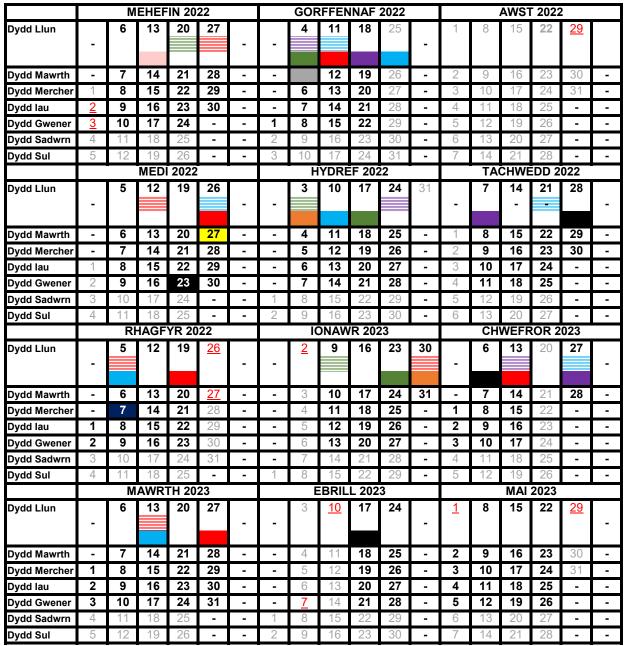
Local Pension Board Agenda
Local Pension Board Meeting
Finance, Audit and Performance Management Committee Agenda
Finance, Audit and Performance Management Committee Meeting
Finance, Asset & Performance management Scrutiny group
Presentation Evening
Carol Service

	June 2022				July 2022				August 2022									
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Sat	4	11	18	25	-	-	2	9	16	23	30	•	6	13	20	27	-	-
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Thurs	1	8	15	22	29	-	-	6	13	20	27	-	3	10	17	24	-	-
Fri	2	9	16	23	30	-	-	7	14	21	28	-	4	11	18	25	-	-
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AGENDA & PWYLLGOR CALENDR 2022-2023





Gŵyl y Banc 19/09/2022 v9

AGENDA ITEM NO 7

Member Training Delivered by Dave Daycock

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To be presented verbally on the day

Presenting Officer: D.Daycock, Mid & West Wales Fire & Rescue Service

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THIS REPORT IS NOT EXEMPT AND IN THE PUBLIC DOMAIN

SOUTH WALES FIRE & RESCUE AUTHORITY

AGENDA ITEM NO 8 3 OCTOBER 2022

STANDARDS COMMITTEE

REPORT OF HEAD OF CORPORATE SUPPORT

PUBLIC SERVICE OMBUDSMAN FOR WALES' CODE OF CONDUCT CASEBOOK

THIS REPORT IS FOR INFORMATION

REPORT APPROVED BY TEMPORARY DIRECTOR OF CORPORATE SERVICES

REPORT PRESENTED BY HEAD OF CORPORATE SUPPORT - SARAH WATKINS

SUMMARY

This report presents to Members the Public Service Ombudsman for Wales' Code of Conduct Casebook.

RECOMMENDATION

It is recommended that Members note the content extracted from the Our Findings Section of the Public Service Ombudsman for Wales' website concerning complaints against the code of conduct for the period March 2021 to August 2022.

1. BACKGROUND

- 1.1 Members last considered the casebook issues 24 & 25 from the Public Services Ombudsman for Wales (the Ombudsman). The Ombudsman no longer produces quarterly Code of Conduct casebooks but now publishes recent decisions on the Our Findings section of its website.
- 1.2 In Appendices 1 to 5 of this report, Members will see extracts from the Ombudsman's website in regard to decisions made against the Code of Conduct.
- 1.3 Members should note that all of the findings are available to be accessed via the Ombudsman's website.

2. ISSUE

2.1 The Standards Committee, as part of its terms of reference, has responsibility to oversee matters relating to the Ombudsman.

- 2.2 The Ombudsman issues the findings against complaints made in regard to the Code of Conduct on its website to provide the public with an overview of the work of the Ombudsman.
- 2.3 The Standards Committee reviews the work of the Ombudsman as a matter of good governance. There have been no findings of a breach of the Code against any Members of South Wales Fire & Rescue Authority within the Ombudsman's website.
- 2.4 Members of the Standards Committee are requested to note the findings in regard to complaints against the Code of Conduct in appendices 1 to 5.

3. IMPLICATIONS

3.1 **Community and Environment**

Equality, Diversity and Inclusion	No
Welsh Language	No
Well-Being Of Future Generations (Wales) Act	No
Socio Economic Duty	No
Sustainability / Environment / Carbon Reduction	No
Safeguarding	No
Consultation and Communications	No
Consultation with Representative Bodies	No
Impact Assessment	No

3.2 Regulatory, Strategy and Policy

Legal	No
Financial	No
Procurement	No
Corporate Risk	No
Information Management	No
Data Protection / Privacy	No
Health, Safety and Wellbeing	No
Governance & Audit	No
Service Policy	No
National Policy	No

3.3 Resources, Assets and Delivery

Human Resources and People Development	No
Assets and Resources (Property/Fleet/ICT/Equipment)	No
Service Delivery	No
Procurement	No
Budget Revenue/Capital	No

4. **RECOMMENDATION**

4.1 It is recommended that Members note the content extracted from the Our Findings Section of the Public Service Ombudsman for Wales' website concerning complaints against the Code of Conduct for the period March 2021 to August 2022.

Contact Office	cer:	Sarah Watkins				
		Head of Corporate Support				
Pookaround	Donoro	Date	Source/Contact			
Background	Papers	Date	Source/Contact			
None						
Appendices						
Appendix 1	Code of	f Conduct Inte	egrity			
Appendix 2 Code of Conduct Promotion of Equality and Respect						
Appendix 3	Code of	f Conduct Dis	closure of Registration of In	terests		
Appendix 4 Code of Conduct Duty to uphold the Law						
Appendix 5	Code of	f Conduct Sel	flessness and Stewardship			

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APPENDIX 1

CODE OF CONDUCT INTEGRITY

Integrity: Pembrokeshire County Council

Report date: 30/03/2022 Subject: CODE - Integrity

Outcome: CODE

Case ref number: 202101091

Report type: CODE - No evidence of breach Relevant body: Pembrokeshire County Council

It was alleged that a Councillor ("the Member") posted videos on the social media platform, TikTok, which brought their office as a councillor and Pembrokeshire County Council ("the Council") into disrepute.

The Ombudsman commenced an investigation into whether the Member may have breached paragraph 6(1)(a) of the Code of Conduct, that members must not conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute.

The investigation found that the Member posted a series of videos, some of which included TikTok sounds which contained expletives. The Member, who had been appointed to a school governing body, was suspended from the governing body while the matter was investigated by the Council. A number of witnesses were interviewed. Some considered the videos to be distasteful. The Council's investigation found that the videos did not impact on the Member's role as a governor.

The investigation found the Member was acting in his private capacity when he posted the videos. Relevant caselaw has established that for a breach of the "disrepute" provision to be found, a member's conduct must impact upon their Council's reputation and/or the role of elected member and go beyond affecting their personal reputation. While the nature of the videos may be considered distasteful by some, TikTok sounds are widely and easily available on TikTok and are often widely reproduced by TikTok users in large quantities. TikTok is a social media platform in which users are required to be 13 years old and content of the type posted by the Member is widely and freely available without censorship. The videos were clearly intended to be humorous and to make people laugh. The videos were not directed at anyone and do not display any intent to cause harm or upset.

The Ombudsman found that there was no evidence of any failure to comply with the Code of Conduct of the relevant authority concerned. **Integrity: Pencoed Town Council**

Report date: 17/06/2022 Subject: CODE - Integrity

Outcome: CODE

Case ref number: 202005940

Report type: CODE - Referred to the Adjudication Panel for Wales

Relevant body: Pencoed Town Council

I received a complaint that a Former Councillor of Pencoed Town Council had failed to declare a criminal conviction when he stood for election in 2018 and in doing so had breached the Code of Conduct for elected members.

My investigation found that the Former Councillor had been convicted of an offence in July 2015 and that this conviction disqualified him from standing as an elected member. The Former Councillor stood for election to Pencoed Town Council in November 2018 and could not have done so had they not made a false declaration on their nomination paper. The deception did not come to light until an article featured in a national newspaper in July 2020. The Former Councillor resigned once this information became public but had acted as a member for 1 year and 8 months when he was not eligible to do so.

I considered that the Former Councillor misled the Town Council as to their eligibility to be a Councillor and that their dishonesty, both when signing the declaration of acceptance of office and during the year and 8 months that they acted as a Councillor, was a serious abuse of office which goes against the principles that underpin the Code of Conduct. The Former Councillor did not engage with the investigation and did not give any explanation for their actions or show any remorse.

I considered the Former Member's actions were suggestive of a breach of paragraph 6(1)(a) of the Code of Conduct and my finding was that the matter should be referred to the President of the Adjudication Panel for Wales for adjudication by a tribunal.

APW heard the case on 10 June 2022 and found that the Former Councillor had been elected on a false premise and that his declaration of acceptance of office, undertaking to abide by the Code continuation in office took place on the same false premise. APW found that the Consent to Nomination and Guidance to Candidates were so clear that it was inconceivable that the Former Councillor was unaware of the fact he was disqualified from being elected and his actions were either deliberate or as the result of extreme recklessness.

APW found that the fact that the Former Councillor was disqualified from being elected and yet continued to act as Member went to the heart of public trust in democracy and undermined the Code and standards regime. The Former Councillor continued to deal with his constituents and act on a false premise and

this constituted a clear breach of paragraph 6(1)(a) of the Code. It also noted that the significant media and public attention and disquiet, would inevitably bring both the office of Member and his Authority into disrepute.

APW concluded that the Former Councillor should be disqualified for 24 months from being or becoming a member of the Council or any other relevant authority within the meaning of the Local Government Act 2000.

Integrity: Powys County Council

Report date: 12/02/202 Subject: CODE - Integrity

Outcome: CODE

Case ref number: 202104527

Report type: CODE - No evidence of a breach

Relevant body: Powys County Council

The Ombudsman received a complaint that a Member ("the Member") of Powys County Council ("the Council") had breached the Code of Conduct when he appeared to be driving a vehicle during a virtual meeting. The Ombudsman reviewed the audio visual recording of the meeting and obtain information from the Police. He also considered an account provided by the Member. The Ombudsman found there was no available evidence to support the allegation that the Member was driving during the virtual meeting and concluded there was no evidence of a breach of the Code of Conduct by the Member.

Integrity: Gwynedd Council

Report date: 09/05/2022 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202004473

Report type: CODE - No evidence of breach

Relevant body: Gwynedd Council

The Ombudsman received a complaint that a Member ("the Member") of Gwynedd Council ("the Council") had breached the Code of Conduct when he shared material on his Facebook account which cast doubt on the existence of COVID-19 and about the vaccine, and when he appeared on a television show to discuss his views on COVID-19 and the vaccine.

The Ombudsman reviewed the member's Facebook activity and his appearance on the television show. The former Chief Executive of the Council was interviewed and comments were sought from the Member.

The Ombudsman found that the Member was entitled to freedom of expression and to hold and express his views regarding the COVID-19 pandemic and the COVID-19 vaccine (under Article 10 of the European Convention on Human Rights, which is incorporated into UK law by the Human Rights Act 1998). The Member's right to free speech allowed him to say things that may have been shocking or offensive to some people. The former Chief Executive's evidence suggested that the Member was not representing the 'Council's position'. The Member did not issue directions to members of the public in contravention of the public health measures in place at the time. Therefore, the Ombudsman did not consider there to be evidence that the Member breached the Code of Conduct.

Integrity: Amlwch Town Council

Report date 05/05/2022
Subject CODE: Integrity
Outcome CODE
Case ref number 202103868
Report type CODE - Discontinued
Relevant body Amlwch Town Council

The Ombudsman received a complaint that a Member ("the Member") of Amlwch Town Council ("the Council") had breached the Code of Conduct when, in their role as a director of a charity, they made an application for an Economic Resilience Fund grant from the Isle of Anglesey County Council ("the County Council") without the authority of the charity and ignoring the views of other directors of the charity that it was not eligible for the grant. It was also alleged that the application made by the Member contained false information and that, once the funding was received, they attempted to transfer the funds into their personal account without authority.

The Ombudsman reviewed information received from the County Council, the Council, the Member and North Wales Police. The member said that her action, made in her personal capacity as a director of the charity, were in the interests of the charity and the community. The Member was unsuccessful in their attempt to transfer the funds into their personal bank account, and the funds were returned when the ineligibility was identified. North Wales Police confirmed that it was not investigating the allegations as the Council did not wish to pursue a complaint. This suggested that the Council was not of the view that there was any criminal or fraudulent intent on the Member's part.

The Ombudsman also considered that the Member was not standing for reelection to the Council, the County Council or any other Town or Community Council within the County Council area. Therefore, the Ombudsman was no longer satisfied that an investigation into the complaint was in the public interest and decided to discontinue the investigation. **Integrity: Barry Town Council**

Report date: 08/11/2021 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202003545
Report type: CODE - Discontinued
Relevant body: Barry Town Council

The Ombudsman received a complaint that a Member ("the Member") of Vale of Glamorgan Council ("the Council") and Barry Town Council ("the Town Council"), and the Council's Planning Committee, had breached the Code of Conduct for Members by opening a coffee shop and wine bar without the correct change of use planning permission being in place.

The Ombudsman's investigation found that the Member had been a joint director of the coffee shop and wine bar, and the business had opened before the Member's change of use planning application was decided (in breach of planning control). The Ombudsman's investigation also found that when a potential breach of the Code of Conduct regarding the situation was brought to the Member's attention, the Member immediately resigned as a director and had no further involvement in the business. The Ombudsman concluded that, as a member of the Council's Planning Committee, the Member had sufficient knowledge of relevant planning matters to understand the importance of adhering to planning regulations, and the Member should have considered his position, and sought advice about his role, before opening the business without correct planning permission.

The Ombudsman found that the Member's conduct could reasonably be regarded as behaviour which might bring the office of Member of the Council into disrepute and was therefore suggestive of a breach of 6(1)(a) of the Code of Conduct. The Ombudsman referred his investigation report to the Monitoring Officer of Vale of Glamorgan Council for consideration by its Standards Committee.

The Standards Committee of Vale of Glamorgan Council determined that the Member had breached 6(1)(a) of the Code of Conduct and suspended him for 1 month.

Integrity: Vale of Glamorgan Council

Report date: 08/11/2021 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202003631

Report type: CODE - Referred to Standards Committee/APW

Relevant body: Vale of Glamorgan Council

The Ombudsman received a complaint that a Member ("the Member") of Vale of Glamorgan Council ("the Council") and Barry Town Council ("the Town Council"), and the Council's Planning Committee, had breached the Code of Conduct for Members by opening a coffee shop and wine bar without the correct change of use planning permission being in place.

The Ombudsman's investigation found that the Member had been a joint director of the coffee shop and wine bar, and the business had opened before the Member's change of use planning application was decided (in breach of planning control). The Ombudsman's investigation also found that when a potential breach of the Code of Conduct regarding the situation was brought to the Member's attention, the Member immediately resigned as a director and had no further involvement in the business. The Ombudsman concluded that, as a member of the Council's Planning Committee, the Member had sufficient knowledge of relevant planning matters to understand the importance of adhering to planning regulations, and the Member should have considered his position, and sought advice about his role, before opening the business without correct planning permission.

The Ombudsman found that the Member's conduct could reasonably be regarded as behaviour which might bring the office of Member of the Council into disrepute and was therefore suggestive of a breach of 6(1)(a) of the Code of Conduct. The Ombudsman referred his investigation report to the Monitoring Officer of Vale of Glamorgan Council for consideration by its Standards Committee.

The Standards Committee of Vale of Glamorgan Council determined that the Member had breached 6(1)(a) of the Code of Conduct and suspended him for 1 month.

Integrity: Pembrokeshire County Council

Report date: 14/09/2021 Subject: CODE : Integrity

Outcome: CODE

Case ref number: 202102047

Report type: CODE - No evidence of breach Relevant body: Pembrokeshire County Council

The Ombudsman undertook an investigation against a Member ("the Member") of Pembrokeshire County Council ("the Council") to consider whether the Member misled him during the course of an ongoing investigation.

The Ombudsman considered whether the Member may have breached paragraph 6(1)(a) of the Code of Conduct ("the Code").

The Member had been interviewed as a witness in an ongoing investigation. Following his initial interview, information was sought from third parties which indicated that the information provided by the Member at interview was incorrect. The Member was subsequently interviewed on a second occasion and as there appeared to be discrepancies in the information provided by the Member, the Ombudsman began an investigation.

The Member provided a full statement to the Ombudsman in response to the allegation. The Ombudsman accepted the explanations provided by the Member regarding the discrepancies in his 2 interviews. Although the Ombudsman considered that the Member could have been more clear in his interviews, he did not consider that there was a deliberate attempt on the Member's part to provide misleading information during the investigation. Therefore, the Ombudsman found no evidence of a breach of paragraph 6(1)(a) of the Code of Conduct.

Integrity: Caernarfon Royal Town Council

Report date: 02/09/2021 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202002555

Report type: CODE - Referred to Standards Committee/APW

Relevant body: Caernarfon Royal Town Council

The Ombudsman received a complaint from a member of Gwynedd Council and Caernarfon Royal Town Council that a Member ("the Member") of those Councils had failed to observe the Councils' Codes of Conduct for Members.

It was alleged that the Member failed to show respect and consideration to the complainant over several months, and his correspondence was suggestive of a course of conduct intended to bully and/or harass the complainant. It was alleged that the Member used, or attempted to use, his position improperly and brought his office or authority into disrepute by posting information provided to him as an elected member on Facebook, posting information which he knew was incorrect on Facebook, posting confidential information on Facebook and by being involved in a police incident. The complainant also said that the Member made vexatious, malicious or frivolous complaints to the Clerk, the Ombudsman and the police about the complainant.

The investigation considered whether the Member failed to comply with the following provisions of the Code of Conduct:

- 4(b) members must show respect and consideration for others.
- 4(c) members must not use bullying behaviour or harass any person.
- 5(a) members must not to disclose confidential information or information which should reasonably be regarded as being of a confidential nature,

- without the express consent of a person authorised to give such consent, or unless required by law to do so.
- 6(1)(a) members must not to conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute.
- 6(1)(d) members must not make vexatious, malicious or frivolous complaints against other members or anyone who works for, or on behalf of, their authority.
- 7(a) members must not, in their official capacity or otherwise, use or attempt to use their position improperly to confer on or secure for themselves, or any other person, an advantage or create or avoid for themselves, or any other person, a disadvantage.

During the investigation, it became apparent that the Member may have failed to comply with paragraph 6(2) of the Code of Conduct – members must comply with any request by the Ombudsman in connection with an investigation conducted in accordance with his statutory powers.

The investigation found that the Member had, over the course of several months, shared information about the complainant on Facebook and with professionals, associated with both Councils, about the complainant. The member also posted information, which should reasonably be regarded as confidential, about the complainant's family members. The investigation found that the Member approached the complainant in the street and began an altercation which required police involvement. The investigation also found that the Member made several complaints to the Clerk, the Police and to the Ombudsman, which lacked foundation and appeared to be motivated by malice or political rivalry.

The Ombudsman found that the member's behaviour was suggestive of a breach of paragraphs 4(b), 4(c), 6(1)(a), 6(1)(d), 6(2) and 7(a) of the Code of Conduct. He did not find a breach of paragraph 5(a) as the Member was not acting in his capacity as a member of the Council when he shared that information.

In relation to paragraph 6(2) of the Code of Conduct, the Ombudsman's Investigation Officer made reasonable and appropriate requests in connection with this investigation. The Investigation Officer also offered reasonable adjustments to afford the Member the opportunity to fully engage in the process. However, the Member deliberately failed to engage with my investigation in an attempt to obfuscate the process and that his actions were suggestive of a breach of paragraph 6(2) of the Code of Conduct.

The report on the investigation was referred to the President of the Adjudication Panel for Wales for adjudication by a tribunal.

The Tribunal concluded that the Member had breached paragraphs 6(1)(d), 6(2) and 7(a) of the Code of Conduct. Accordingly, the Tribunal decided that the Member should be suspended from the Council for a period of 9 months or, if shorter, the remainder of him term in office. The Tribunal found that the Member had not breached paragraph 4(c) of the Code of Conduct. Whilst the Tribunal concluded that the Member had, "in principle", breached paragraphs 4(b), 6(1)(a) and 7(a) of the Code of Conduct, any restriction imposed would compromise a prima facie breach of the Members Article 10 rights, the right to freedom of speech. The Tribunal, therefore, considered that restriction was not justified.

Integrity: Gwynedd Council

Report date: 02/09/2021 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202002215

Report type: CODE - Referred to Standards Committee/APW

Relevant body: Gwynedd Council

The Ombudsman received a complaint from a member of Gwynedd Council and Caernarfon Royal Town Council that a Member ("the Member") of those Councils had failed to observe the Councils' Codes of Conduct for Members.

It was alleged that the Member failed to show respect and consideration to the complainant over several months, and his correspondence was suggestive of a course of conduct intended to bully and/or harass the complainant. It was alleged that the Member used, or attempted to use, his position improperly and brought his office or authority into disrepute by posting information provided to him as an elected member on Facebook, posting information which he knew was incorrect on Facebook, posting confidential information on Facebook and by being involved in a police incident. The complainant also said that the Member made vexatious, malicious or frivolous complaints to the Clerk, the Ombudsman and the police about the complainant.

The investigation considered whether the Member failed to comply with the following provisions of the Code of Conduct:

- 4(b) members must show respect and consideration for others.
- 4(c) members must not use bullying behaviour or harass any person.
- 5(a) members must not to disclose confidential information or information which should reasonably be regarded as being of a confidential nature, without the express consent of a person authorised to give such consent, or unless required by law to do so.
- 6(1)(a) members must not to conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute.

- 6(1)(d) members must not make vexatious, malicious or frivolous complaints against other members or anyone who works for, or on behalf of, their authority.
- 7(a) members must not, in their official capacity or otherwise, use or attempt to use their position improperly to confer on or secure for themselves, or any other person, an advantage or create or avoid for themselves, or any other person, a disadvantage.

During the investigation, it became apparent that the Member may have failed to comply with paragraph 6(2) of the Code of Conduct – members must comply with any request by the Ombudsman in connection with an investigation conducted in accordance with his statutory powers.

The investigation found that the Member had, over the course of several months, shared information about the complainant on Facebook and with professionals, associated with both Councils, about the complainant. The member also posted information, which should reasonably be regarded as confidential, about the complainant's family members. The investigation found that the Member approached the complainant in the street and began an altercation which required police involvement. The investigation also found that the Member made several complaints to the Clerk, the Police and to the Ombudsman, which lacked foundation and appeared to be motivated by malice or political rivalry.

The Ombudsman found that the member's behaviour was suggestive of a breach of paragraphs 4(b), 4(c), 6(1)(a), 6(1)(d), 6(2) and 7(a) of the Code of Conduct. He did not find a breach of paragraph 5(a) as the Member was not acting in his capacity as a member of the Council when he shared that information.

In relation to paragraph 6(2) of the Code of Conduct, the Ombudsman's Investigation Officer made reasonable and appropriate requests in connection with this investigation. The Investigation Officer also offered reasonable adjustments to afford the Member the opportunity to fully engage in the process. However, the Member deliberately failed to engage with my investigation in an attempt to obfuscate the process and that his actions were suggestive of a breach of paragraph 6(2) of the Code of Conduct.

The report on the investigation was referred to the President of the Adjudication Panel for Wales for adjudication by a tribunal.

The Tribunal concluded that the Member had breached paragraphs 6(1)(d), 6(2) and 7(a) of the Code of Conduct. Accordingly, the Tribunal decided that the Member should be suspended from the Council for a period of 9 months or, if shorter, the remainder of him term in office. The Tribunal found that the Member had not breached paragraph 4(c) of the Code of Conduct. Whilst the Tribunal concluded that the Member had, "in principle", breached paragraphs 4(b), 6(1)(a)

and 7(a) of the Code of Conduct, any restriction imposed would compromise a prima facie breach of the Members Article 10 rights, the right to freedom of speech. The Tribunal, therefore, considered that restriction was not justified.

Integrity: Neath Port Talbot Council

Report date: 20/07/2021 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202005795

Report type: CODE - No evidence of breach Relevant body: Neath Port Talbot Council

The Ombudsman received a self-referred complaint that a Member ("the Member") of Neath Port Talbot Council ("the Council") had breached the Code of Conduct ("the Code") in respect of comments he had made during a private Labour Party meeting during October 2019. The comments were secretly recorded and later published on social media on 5 March 2021.

The Ombudsman began an investigation of some of the comments made and considered whether the Member had breached the following paragraphs of the Code:

- 6(1)(a) members must not conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute.
- 7(b)(v) members must not use or authorise others to use, the resources of their authority improperly for political purposes.

In the recording, the Member commented on school reorganisation. The investigation found that comments made about the relocation of a particular school appeared to have been made in the context of a report that identified that the school was at risk of being affected by a landslide. The comments were based on independent professional advice and were therefore not likely to amount to a breach of the Code.

The Member also made general comments about the Council's school reorganisation plans. Whilst the Member clearly expressed his preliminary views on the matter, he did not appear to discount the possibility of other options. Neither did he indicate that he would not be willing to consider the advice of appropriate officers. That being so, the comments did not amount to a breach of the Code.

During the recording, the Member suggested that he would refuse funding for a proposal supported by another political party in favour of a contradicting Labour proposal and, in doing so, referred to his involvement in the repair of a cemetery road in Ystalyfera. The decision to fund the work on the road was made by the Council's Capital Programme Steering Group. Whilst the Member was copied

into correspondence, he was not involved in the decision to fund the work. As such, the Ombudsman concluded that there was no evidence to suggest that the Member misused his position in relation to this matter and there was no evidence of a breach of the Code.

The Member also made reference to "cutting out" another member when putting through a regeneration programme which that member had been campaigning about for several years. The investigation found no evidence of any deliberate action by the Member to "cut out", or exclude the other member from the programme. There was a failure to keep the member informed of developments, however, it was not the Member's responsibility to do so and, in any event, a Council officer took ultimate responsibility for this oversight. There was no evidence of a breach of the Code by the Member.

The Member was informed that no evidence of breaches of the Code were found during the investigation.

Integrity: Saltney Town Council

Report date: 15/06/2021 Subject: CODE: Integrity

Outcome: CODE

Case ref number: 202004182

Report type: CODE - No evidence of breach

Relevant body: Saltney Town Council

A Member ("the Member") of Saltney Town Council ("the Council") self-referred to the Ombudsman as the Solicitors Regulation Authority ("the SRA") had publicly issued a disqualification order related to their former employment as a paralegal.

The Ombudsman investigated whether the Member's conduct may amount to a breach of paragraph 6(1)(a) of the Code of Conduct ("the Code").

The Ombudsman obtained copies of the SRA's decision documents and found that it had reached a finding of dishonesty against the Member on the basis that the Member had provided false information to clients on the progress of their cases. There was no suggestion of any financial impropriety or criminal conduct on the Member's part.

The Ombudsman found that, whilst the Member may have brought themselves personally into disrepute as a result of the SRA's public decision notice, the Member's role as a paralegal was entirely related to their private life and had no connection to the Council or their role as a Councillor. Furthermore, the SRA's dishonesty finding related to the Member's inability to progress work. This being the case, the Ombudsman did not consider that the Member's actions amounted to a breach of paragraph 6(1)(a) of the Code.

APPENDIX 2

CODE OF CONDUCT - PROMOTION OF EQUALITY AND RESPECT

Promotion of equality and respect: Pembrokeshire County Council

Report date: 17/06/2022

Subject: CODE - Promotion of equality and respect

Outcome: CODE

Case ref number: 202000660

Report type: CODE - Referred to Standards Committee

Relevant body: Pembrokeshire County Council

The Ombudsman received a complaint that a Member of Pembrokeshire County Council ("the Council") had failed to observe the Code of Conduct for Members of the Council. It was alleged that the Member had published a post in June 2020 on the social media platform Facebook, which could be considered to be racist, and could have the potential to damage the reputation of the office of Member and of the Council.

The Ombudsman's investigation established that the Member had publicly published his post in order to raise concerns about the Council's decision to light up County Hall in support of Black Lives Matter. The Member deleted his entire Facebook profile page some weeks later. Numerous complaints about the post were made to the Council and to the Ombudsman's office, and the Member was subject to local and national Press interest, as well as considerable comment on Facebook. The Member said that he considered the post to fall within his right to free speech because he did not believe he had really offended anybody, and the complaints that were made against him were politically motivated.

The Ombudsman accepted that the Member had the right to question the Council's decision to support Black Lives Matter, however the language used by the Member was offensive and went beyond what would be expected of a councillor in a political discussion. The Member had not taken advantage of opportunities to attend equality and diversity training or social media training.

The Ombudsman determined that the Member may have breached the Council's Code of Conduct, in particular paragraph 6(1)(a) as he could reasonably be regarded as having behaved in a manner which might bring the office of member, or the Council itself, into disrepute. The Ombudsman referred his investigation report to the Monitoring Officer of Pembrokeshire County Council for consideration by its Standards Committee.

The Standards Committee decided that the Member had made the post in his capacity as Councillor and censured him for breaches of paragraphs 4(b) and 6(1)(a) of the Code. It said it would have considered a suspension had the Member been re-elected at the recent Local Government elections.

Promotion of equality and respect: Montgomery Town Council

Report date: 11/05/2022

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202100773

Report type: CODE - No evidence of breach Relevant body: Montgomery Town Council

The Ombudsman received a complaint that a Member ("the Member") of Montgomery Town Council ("the Town Council") breached the Members Code of Conduct ("the Code") by failing to show respect and consideration for others, bullying and harassment of the Former Clerk and members of the Town Council, and malicious and vexatious complaints.

The Ombudsman investigated whether the Member's conduct may amount to a breach of paragraphs 4(a), 4(b), 4(c), and 6(1)(a) of the Code.

The investigation found that the Town Council had experienced challenging interpersonal issues over a period of time and previous to the complaint, had undergone a Local Resolution process which identified corporate and individual situations requiring change and improvement. The Ombudsman considered that in the light of the Local Resolution findings and the Member's right to freedom of expression as a councillor, the alleged conduct was not suggestive of a breach of the Code. The Ombudsman did not consider that complaints made by the Member had been malicious or vexatious.

The Ombudsman's Guidance recommends that when members are performing their public roles, they should afford the public, colleagues, opponents and officers the same courtesy and consideration they show to others in their everyday lives. In view of this, the Ombudsman recommended that members of the Town Council, as a whole, should reflect on their obligations under the Code to treat others with respect and consideration

Promotion of equality and respect : Bishton Community Council

Report date: 23/03/2022

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202004326

Report type: CODE

Relevant body: Bishton Community Council

The Ombudsman received a complaint that a Former Member ("the Member") of Bishton Community Council ("the Community Council") had breached the Code of Conduct). It was alleged that the Member had been rude to the Clerk in a Council meeting, had ignored a request not to contact the Clerk and in doing so had bullied and harassed him. It was also alleged that the Member had failed to declare an interest in a settlement proposal.

The investigation considered the following paragraphs of the Code of Conduct:

- 4(b) members must show respect and consideration for others
- 4(c) members must not use bullying behaviour or harass any person
- 11 disclosure of personal interests
- 14 disclosure of prejudicial interests

During the course of the investigation, information from the Community Council was considered and witnesses were interviewed. The Member stood down from his role and did not participate in the investigation.

The investigation found that the Member had raised his voice to the Clerk at a meeting and offered a limited apology for his behaviour. It found that the Member did send an email to the Clerk but that this was a reply all email and at the time it was sent, a process was in place that all correspondence to the Clerk was being forwarded to the Chair. The investigation also found that the Member participated in the settlement proposal and agreement for the Clerk.

The Ombudsman considered that the Member's behaviour at the meeting and in an email was suggestive of a breach of paragraph 4(b) of the Code of Conduct but was not sufficiently serious that it would warrant any interference with his right to raise concerns about the Council's administration or that it was likely a sanction would be imposed upon him. The Ombudsman did not consider the behaviour was sufficiently serious that it was suggestive of a breach of paragraph 4(c) of the Code of Conduct.

The Ombudsman considered that, in respect of the settlement, the Member's actions were suggestive of a breach of paragraphs 11 and 14 of the Code of Conduct. However, the settlement was the outcome desired by the Clerk and as such did not disadvantage him. The vote to approve the settlement would still have been carried as it was agreed unopposed. As the Member was no longer in post and relationships in the Community Council have improved the Ombudsman did not consider that it was in the public interest to take any further action in respect of that matter.

The Ombudsman found that under Section 69(4)(b) of the Local Government Act 2000, no action needed to be taken in respect of the matters investigated.

Promotion of equality and respect : Bishton Community Council

Report date: 23/03/2022

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202003260

Report type: CODE - No action necessary Relevant body: Bishton Community Council

The Ombudsman received a complaint that a Former Member ("the Member") of Bishton Community Council ("the Community Council") had breached the Code of Conduct). It was alleged that the Member had been rude to the Clerk in a Council meeting, had ignored a request not to contact the Clerk and in doing so had bullied and harassed him. It was also alleged that the Member had failed to declare an interest in a settlement proposal.

The investigation considered the following paragraphs of the Code of Conduct:

- 4(b) members must show respect and consideration for others
- 4(c) members must not use bullying behaviour or harass any person
- 11 disclosure of personal interests
- 14 disclosure of prejudicial interests

During the course of the investigation, information from the Community Council was considered and witnesses were interviewed. The Member stood down from his role and did not participate in the investigation.

The investigation found that the Member had raised his voice to the Clerk at a meeting and offered a limited apology for his behaviour. It found that the Member did send an email to the Clerk but that this was a reply all email and at the time it was sent, a process was in place that all correspondence to the Clerk was being forwarded to the Chair. The investigation also found that the Member participated in the settlement proposal and agreement for the Clerk.

The Ombudsman considered that the Member's behaviour at the meeting and in an email was suggestive of a breach of paragraph 4(b) of the Code of Conduct but was not sufficiently serious that it would warrant any interference with his right to raise concerns about the Council's administration or that it was likely a sanction would be imposed upon him. The Ombudsman did not consider the behaviour was sufficiently serious that it was suggestive of a breach of paragraph 4(c) of the Code of Conduct.

The Ombudsman considered that, in respect of the settlement, the Member's actions were suggestive of a breach of paragraphs 11 and 14 of the Code of Conduct. However, the settlement was the outcome desired by the Clerk and as such did not disadvantage him. The vote to approve the settlement would still have been carried as it was agreed unopposed. As the Member was no longer in post and relationships in the Community Council have improved the Ombudsman did not consider that it was in the public interest to take any further action in respect of that matter.

The Ombudsman found that under Section 69(4)(b) of the Local Government Act 2000, no action needed to be taken in respect of the matters investigated.

Promotion of equality and respect: Bishton Community Council

Report date: 23/03/2022

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202003260

Report type: CODE - No action necessary Relevant body: Bishton Community Council

The Ombudsman received a complaint that a Former Member ("the Member") of Bishton Community Council ("the Community Council") had breached the Code of Conduct). It was alleged that the Member had been rude to the Clerk in a Council meeting, had ignored a request not to contact the Clerk and in doing so had bullied and harassed him. It was also alleged that the Member had failed to declare an interest in a settlement proposal.

The investigation considered the following paragraphs of the Code of Conduct:

- 4(b) members must show respect and consideration for others
- 4(c) members must not use bullying behaviour or harass any person
- 11 disclosure of personal interests
- 14 disclosure of prejudicial interests

During the course of the investigation, information from the Community Council was considered and witnesses were interviewed. The Member stood down from his role and did not participate in the investigation.

The investigation found that the Member had raised his voice to the Clerk at a meeting and offered a limited apology for his behaviour. It found that the Member did send an email to the Clerk but that this was a reply all email and at the time it was sent, a process was in place that all correspondence to the Clerk was being forwarded to the Chair. The investigation also found that the Member participated in the settlement proposal and agreement for the Clerk.

The Ombudsman considered that the Member's behaviour at the meeting and in an email was suggestive of a breach of paragraph 4(b) of the Code of Conduct but was not sufficiently serious that it would warrant any interference with his right to raise concerns about the Council's administration or that it was likely a sanction would be imposed upon him. The Ombudsman did not consider the behaviour was sufficiently serious that it was suggestive of a breach of paragraph 4(c) of the Code of Conduct.

The Ombudsman considered that, in respect of the settlement, the Member's actions were suggestive of a breach of paragraphs 11 and 14 of the Code of Conduct. However, the settlement was the outcome desired by the Clerk and as such did not disadvantage him. The vote to approve the settlement would still have been carried as it was agreed unopposed. As the Member was no longer in post and relationships in the Community Council have improved the Ombudsman did not consider that it was in the public interest to take any further action in respect of that matter.

The Ombudsman found that under Section 69(4)(b) of the Local Government Act 2000, no action needed to be taken in respect of the matters investigated.

Promotion of equality and respect: Bishton Community Council

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202003099

Report type: CODE - No action necessary Relevant body: Bishton Community Council

The Ombudsman received a complaint that a Former Member ("the Member") of Bishton Community Council ("the Community Council") had breached the Code of Conduct). It was alleged that the Member had been rude to the Clerk in a Council meeting, had ignored a request not to contact the Clerk and in doing so had bullied and harassed him. It was also alleged that the Member had failed to declare an interest in a settlement proposal.

The investigation considered the following paragraphs of the Code of Conduct:

4(b) – members must show respect and consideration for others

4(c) – members must not use bullying behaviour or harass any person

11 – disclosure of personal interests

14 – disclosure of prejudicial interests

During the course of the investigation, information from the Community Council was considered and witnesses were interviewed. The Member stood down from his role and did not participate in the investigation.

The investigation found that the Member had raised his voice to the Clerk at a meeting and offered a limited apology for his behaviour. It found that the Member did send an email to the Clerk but that this was a reply all email and at the time it was sent, a process was in place that all correspondence to the Clerk was being forwarded to the Chair. The investigation also found that the Member participated in the settlement proposal and agreement for the Clerk.

The Ombudsman considered that the Member's behaviour at the meeting and in an email was suggestive of a breach of paragraph 4(b) of the Code of Conduct but was not sufficiently serious that it would warrant any interference with his right to raise concerns about the Council's administration or that it was likely a sanction would be imposed upon him. The Ombudsman did not consider the behaviour was sufficiently serious that it was suggestive of a breach of paragraph 4(c) of the Code of Conduct.

The Ombudsman considered that, in respect of the settlement, the Member's actions were suggestive of a breach of paragraphs 11 and 14 of the Code of Conduct. However, the settlement was the outcome desired by the Clerk and as

such did not disadvantage him. The vote to approve the settlement would still have been carried as it was agreed unopposed. As the Member was no longer in post and relationships in the Community Council have improved the Ombudsman did not consider that it was in the public interest to take any further action in respect of that matter.

The Ombudsman found that under Section 69(4)(b) of the Local Government Act 2000, no action needed to be taken in respect of the matters investigated.

Promotion of equality and respect : Llanvaches Community Council

Report date: 14/03/2022

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202005981

Report type: CODE - No evidence of breach Relevant body: Llanvaches Community Council

The Ombudsman received a complaint that a Member ("the Member") of Llanvaches Community Council ("the Community Council") had breached the Code of Conduct ("the Code") regarding matters concerning a boundary dispute, work conducted by a Tree Surgeon and the disclosure of confidential information.

The Ombudsman investigated whether the Member's conduct may amount to a breach of paragraphs 4(b), 4(c), 5(a), 6(1)(a) and 7(a) of the Code of Conduct ("the Code").

The Ombudsman obtained documents which demonstrated that the boundary dispute had been resolved, and the Member had declared an interest and not been involved in, or sought to influence, the Community Council's decision regarding a formal request to plant saplings on the boundary. Interviews were undertaken with the complainant, the Tree Surgeon, and another Community Council Member. The Ombudsman found that the Tree Surgeon had removed branches from a tree on Community Council leased land without authorisation, the Member had a legitimate reason to question the work being carried out, and he had not used abusive or offensive language. During the investigation it was established that the disclosure of confidential information was being considered as part of another complaint.

The Ombudsman did not consider that the Member's conduct concerning the boundary dispute or towards the Tree Surgeon was suggestive of a breach of the Code. However, he recommended that the Member should reflect on how he speaks about others and on his obligations under the Code to treat others with respect and consideration.

Promotion of equality and respect : Pembrokeshire County Council

Report date: 08/10/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202004458
Report type: CODE - Discontinued

Relevant body: Pembrokeshire County Council

The Ombudsman received a complaint that a Member ("the Member") of Pembrokeshire County Council ("the Council") had failed to observe the Code of Conduct for Members. It was alleged that the Member had made threatening comments about a member of the public, which could have brought the Council into disrepute. The Ombudsman decided to discontinue the investigation because the investigation established that the comments were made wholly in the Member's personal capacity and the tone and comments exchanged with the member of the public who made the complaint were of a similar tone and content to those the Member had made. In view of this, the Ombudsman concluded that it was no longer in the public interest for him to continue the investigation.

Promotion of equality and respect : Sully and Lavernock Community Council

Report date:12/07/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202000622

Report type: CODE - Referred to Standards Committee/APW Relevant body: Sully and Lavernock Community Council

The Ombudsman received a complaint from an employee of Sully and Lavernock Community Council ("the Council") that a Member ("the Member") of the Council had failed to observe the Code of Conduct for Members.

It was alleged that, during an incident on a Council playing field concerning Council equipment, the Member verbally abused and bullied the employee and subjected the employee's father to abuse and provocation.

The Ombudsman concluded that the Member used abusive and offensive language towards the employee in front of other members and the employee's father, in an attempt to insult, belittle and undermine the employee. The Ombudsman concluded that the Member's actions were suggestive of bullying and provocation.

The Ombudsman determined that the Member's behaviour may have breached the Council's Code of Conduct, in particular 4(b) and 4(c) in a failure to show respect and consideration to others, and not to use bullying behaviour. The

Ombudsman also found that the Member's conduct could reasonably be regarded as behaviour which might bring the office of Member of the Council into disrepute and was therefore also suggestive of a breach of 6(1)(a) of the Code of Conduct.

The Ombudsman referred his investigation report to the Monitoring Officer of Vale of Glamorgan Council for consideration by its Standards Committee.

The Member resigned shortly before the Standards Committee Hearing. The Standards Committee of Vale of Glamorgan Council determined that the Former Member had breached paragraphs 4(b), 4(c) and 6(1)(a) of the Code of Conduct and the Former Member received a censure

Promotion of equality and respect : Gwynedd Council

Report date: 05/10/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202002960

Report type: CODE - No action necessary

Relevant body: Gwynedd Council

The Ombudsman received a complaint that a Member ("the Member") of Gwynedd Council ("the Council") had breached the Code of Conduct ("the Code").

It was alleged that the Member posted a homophobic comment on Facebook. The Member was not acting in his official role as a member when he posted the comment, therefore, the investigation considered whether the Member breached paragraph 6(1)(a) of the Code, which says that members must not conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute.

The information gathered during the investigation showed that in October 2020, the complainant's newly appointed employee posted on Facebook, "think I work for the best boss" and tagged the complainant. The Member, posting from his personal Facebook account, replied, "do you suck for a living?".

The employee was contacted as part of the Ombudsman's investigation, however, he did not provide the Ombudsman with a completed witness statement. The evidence gathered was put to the Member and he was interviewed as part of the investigation. During interview, he said that there was no homophobic intent behind his comment and, whilst he accepted in hindsight that his comment could be perceived as homophobic, he said that his intention was to point out his view that the employee was "creeping up" to his employer.

Whilst the Ombudsman appreciated why the complainant and others had interpreted the comment as homophobic, he did not consider the Member's explanation to be wholly implausible given that "sucking up" is a term commonly used to describe sycophantic behaviour.

The Member said that he regretted his actions and that faced with the same circumstances again, he would not have commented on the Facebook post. He also said that he was willing to apologise to the complainant and his employee for the offence that he had caused, which the Ombudsman encouraged him to do.

The Ombudsman did not consider it in the public interest to take any further action in relation to this matter.

Promotion of equality and respect : Abertillery & Llanhilleth Community Council

Report date: 02/08/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE:

Case ref number: 201906362

Report type: CODE - Referred to Standards Committee/APW Relevant body: Abertillery & Llanhilleth Community Council

The Ombudsman received a complaint that a Member ("the Member") of Abertillery & Llanhilleth Community Council ("the Council") had breached the Code of Conduct.

It was alleged that the Member had shown a disregard for the principle of equality, by making comments about another member's hearing impairment and deliberately making it difficult for that member to participate in Council meetings.

The investigation considered whether the Member failed to comply with the following provisions of the Code of Conduct:

- 4(a) members must carry out their duties and responsibilities with due regard to the principle that there should be equality of opportunity for all people, regardless of their gender, race, disability, sexual orientation, age or religion.
- 4(b) members must show respect and consideration for others.
- 4(c) members must not use bullying behaviour or harass any person.

During the investigation, it became apparent that the Member may have failed to comply with paragraphs 6(1)(a) (members must not conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute) and 6(2) of the Code of Conduct (members must comply with any

request by the Ombudsman in connection with an investigation conducted in accordance with his statutory powers).

Five witnesses were interviewed during the investigation: all were members of the Council. The investigation found that the evidence suggested that the Member had directly discriminated against another member by making discriminatory remarks about her disability during and immediately after a Council meeting on 30 October 2019, and by deliberately making it difficult for her to participate at Council meetings. The Ombudsman concluded that the Member's behaviour was suggestive of a serious breach of paragraph 4(a) of the Code of Conduct.

The Ombudsman considered that the Member's comments about the other member's disability were a clear attempt to intimidate and undermine her. He considered that the Member's behaviour was also suggestive of a breach of paragraph 4(b) of the Code of Conduct.

The Member denied that his actions breached the Code of Conduct, but he refused to be interviewed so that he could provide an account for the specific actions complained about. The other member said that she felt distressed and intimidated after the meeting on 30 October. Witnesses have also described the other member as being visibly upset during Council meetings. On balance, I consider that the Member behaved in a way that is suggestive of a breach of paragraph 4(c) of the Code of Conduct. The Ombudsman was also of the view that it would be reasonable to regard such conduct as capable of bringing the Member's office or authority into disrepute and was therefore suggestive of a breach of paragraph 6(1)(a) of the Code of Conduct.

In relation to paragraph 6(2) of the Code of Conduct, the Ombudsman's Investigation Officer made reasonable and appropriate requests in connection with this investigation. The Investigation Officer took extra steps, causing a delay in the process, to appease the Member and to help him feel comfortable with the process. The Investigation Officer also took steps to make reasonable adjustments to afford the Member the opportunity to fully engage. My view is that the Member deliberately failed to engage with my investigation in an attempt to obfuscate the process and that his actions were suggestive of a breach of paragraph 6(2) of the Code of Conduct.

The report on the investigation was referred to the President of the Adjudication Panel for Wales for adjudication by a tribunal.

The Adjudication Panel for Wales concluded that the Member had breached paragraphs 4(a), 4(b), 4(c), 6(1)(a) and 6(2) of the Code of Conduct and suspended him for 10 months. It also recommended that the Member should undertake further training on the Code of Conduct, undertake Equality and Diversity training and provide the Complainant with a full written apology.

Promotion of equality and respect : Llantwit Fardre Community Council

Report date: 30/07/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202002984

Report type: CODE - No evidence of breach

Relevant body: Llantwit Fardre Community Council

The Ombudsman received a complaint that a Former Member of Rhondda Cynon Taf County Borough Council ("the Council") and Llantwit Fardre Community Council ("the Community Council") had breached the Code of Conduct for Members ("the Code") in using the term "Pikies" during a community WhatsApp group discussion.

The Ombudsman investigated whether the Former Member's conduct may have breached paragraphs 4(a), 4(b) and 6(1)(a) of the Code. During the investigation the Member resigned from both the Council and Community Council. The Ombudsman's investigation found that the community WhatsApp group was comprised of members of a village hall committee and at the time of the exchange the Former Member was not a Council or Community Council representative on the committee or WhatsApp group. The Ombudsman found the Former Member was not acting in his public role during the exchange and therefore paragraphs 4(a) and 4(b) of the Code were not engaged when he made the post in his private capacity, and these provisions of the Code were not engaged when the Former Member made his comment on WhatsApp.

The Ombudsman considered that had the Former Member been a Council or Community Council representative, his conduct could be suggestive of a breach of paragraph 6(1)(a) of the Code. However, the Ombudsman was not persuaded there was a sufficient link to the Former Member's role to suggest the comment would affect the reputation of the Former Member's office or authority. This being the case, the Ombudsman did not consider that the conduct was suggestive of a breach of paragraph 6(1)(a) of the Code.

Promotion of equality and respect : Rhondda Cynon Taf County Borough Council

Report date: 30/07/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202002418

Report type: CODE - No evidence of breach

Relevant body: Rhondda Cynon Taf County Borough Council

The Ombudsman received a complaint that a Former Member of Rhondda Cynon Taf County Borough Council ("the Council") and Llantwit Fardre Community Council ("the Community Council") had breached the Code of Conduct for Members ("the Code") in using the term "Pikies" during a community WhatsApp group discussion.

The Ombudsman investigated whether the Former Member's conduct may have breached paragraphs 4(a), 4(b) and 6(1)(a) of the Code. During the investigation the Member resigned from both the Council and Community Council. The Ombudsman's investigation found that the community WhatsApp group was comprised of members of a village hall committee and at the time of the exchange the Former Member was not a Council or Community Council representative on the committee or WhatsApp group. The Ombudsman found the Former Member was not acting in his public role during the exchange and therefore paragraphs 4(a) and 4(b) of the Code were not engaged when he made the post in his private capacity, and these provisions of the Code were not engaged when the Former Member made his comment on WhatsApp.

The Ombudsman considered that had the Former Member been a Council or Community Council representative, his conduct could be suggestive of a breach of paragraph 6(1)(a) of the Code. However, the Ombudsman was not persuaded there was a sufficient link to the Former Member's role to suggest the comment would affect the reputation of the Former Member's office or authority. This being the case, the Ombudsman did not consider that the conduct was suggestive of a breach of paragraph 6(1)(a) of the Code.

Promotion of equality and respect : Pembroke Dock Town Council

Report date: 23/04/2021

Subject: CODE: Promotion of equality and respect

Outcome: CODE

Case ref number: 202000789

Report type: CODE - Referred to Standards Committee/APW

Relevant body: Pembroke Dock Town Council

The Ombudsman received a complaint that a Former Member of Pembroke Dock Town Council ("the Council") had failed to observe the Code of Conduct for Members of the Council. It was alleged that the Former Member had published a post on the social media platform Facebook, which could be considered racist and could have the potential to damage the reputation of the Council.

The Ombudsman's investigation established that the Former Member had "liked" and "shared" the Facebook post, and that there had been a short delay before he took the post down. The investigation found that the Former Member had

misled a local newspaper by stating that his Facebook account had been "hacked", but had corrected this within 48 hours. It also found that the Former Member resigned as a member of the Council and was interviewed by police, who took no further action.

The Former Member said that he had not recognised the racist overtones when he shared the post, and was not a racist person. He apologised for any offense he might have caused. The Former Member accepted that he had the opportunity to attend Code of Conduct training which would have included training on equality issues. He had not attended, due partly to his disability, but accepted that he had not requested training in a manner more accessible to him.

The Ombudsman determined that the Former Member may have breached the Council's Code of Conduct, in particular, paragraphs 4(a) and 4(b), as he may have failed to have due regard to the principle of equality of opportunity for all people while carrying out his duties, and may not have shown due respect and consideration for others. The Ombudsman also found that the Former Member's actions could reasonably be regarded as behaviour which might bring the office of member, or the Council itself into disrepute, which may amount to a potential breach of paragraph 6(1)(a) of the Code of Conduct.

The Standards Committee of Pembrokeshire County Council determined that the Former Member had breached paragraphs 4(b) and 6(1)(a) of the Code of Conduct. The Former Member was given a censure.

Promotion of equality and respect: Tywyn Town Council

Report date: 17/12/2021

Subject: CODE Promotion of equality and respect

Outcome: CODE

Case ref number: 201906873

Report type: Referred to Standards Committee

Relevant body: Tywyn Town Council

The Ombudsman received a complaint from the Chair of the Personnel Committee of Tywyn Town Council ("the Council") that a Member ("the Member") of the Council had failed to observe the Council's Code of Conduct for Members.

It was alleged that the Member had been disrespectful to the Clerk of the Council ("the Clerk") and had repeatedly undermined her. The complaint related to correspondence sent by the Member to the Clerk and correspondence sent by the Member about the Clerk.

The Ombudsman concluded that the Member's correspondence included derogatory personal comments which were disrespectful and that comments

about the Clerk's experience were intended to undermine the Clerk. The Member also used gendered language when commenting on the Clerk.

The Ombudsman determined that the Member may have breached the Council's Code of Conduct, in particular, paragraphs 4(a), 4(b) and 4(c) as the Member failed to carry out their duties and responsibilities with due regard to the principle that there should be equality of opportunity for all people, regardless of their gender; a failure to show respect and consideration, and bullying or harassing behaviour of the Clerk. The Ombudsman also found that the Member's actions could reasonably be regarded as behaviour which might bring the office of Member or the Council into disrepute and a potential breach of paragraph (6(1)(a) of the Code of Conduct.

The Ombudsman referred his investigation report to the Monitoring Officer of Gwynedd Council for consideration by its Standards Committee.

The Standards Committee censured Councillor Stevens as he resigned from the Council prior to the hearing. It said it would have suspended him for the fullest period possible and asked him to reflect on his behaviour.

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APPENDIX 3

CODE OF CONDUCT - DISCLOSURE OF REGISTRATION OF INTERESTS

Disclosure and registration of interests : Grovesend and Waungron Community Council

Report date: 01/04/2022

Subject: CODE: Disclosure and registration of interests

Outcome: CODE

Case ref number: 202100010

Report type: CODE - No action necessary

Relevant body: Grovesend and Waungron Community Council

The Ombudsman received a complaint that a Member ("the Member") of Grovesend and Waungron Community Council ("the Community Council") had breached the Code of Conduct. It was alleged that the Member had failed to declare a personal and prejudicial interest when considering a planning application for a housing development adjacent to their property. It was also alleged that, when work started on the housing development, the Member hindered lorries from entering the site and threatened the development contractor with a solicitor's letter, saying she was acting on behalf of the Community Council.

The investigation considered the following paragraphs of the Code of Conduct:

- 6(1)(a) Members must not conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute. 7(a) Members must not, in their official capacity or otherwise, use or attempt to use their position improperly to advantage or disadvantage themselves or any other person.
- 11 Members must disclose the existence and nature of a personal interest before participating in any business of their authority to which it relates.
- 14 Members must, unless they have obtained dispensation from their authority's standards committee, withdraw from a meeting which is considering any business of their authority in which they have a prejudicial interest and not seek to influence a decision about that business.

During the course of the investigation, information from the Community Council and the development contractor was considered and witnesses were interviewed.

The investigation found that when the planning application came before the Community Council as part of a consultation process the Member was advised incorrectly that she did not need to declare an interest. The Ombudsman found that as the Member lived adjacent to the housing development and ran a business from her property, it was likely that she had both a personal and

prejudicial interest in the planning application, and, therefore, she may have breached paragraphs 11 and 14 of the Code of Conduct.

The investigation found that whilst the Member may have influenced other members at the meeting, the Community Council as a whole submitted its objections to the planning application. However, the planning application was ultimately agreed by the County Council, and the development went ahead. Therefore, the Member's participation and the objection to the planning application from the Community Council did not cause a disadvantage to the applicant. The Ombudsman also considered that the evidence gathered during the investigation did not support the allegation that the Member had hindered lorries and threatened the contractor with a solicitor's letter or suggested that the Member had used her position improperly or brought her office as a member or the Community Council into disrepute in breach of paragraphs 6(1)(a) or 7(a) of the Code of Conduct.

The Ombudsman determined that the incorrect advice provided some mitigation for the Member's actions and, as the conduct did not affect the outcome of the planning application, it was unlikely a sanction would be imposed, and it was not in the public interest to pursue the matter. The Ombudsman therefore found that under Section 69(4)(b) of the Local Government Act 2000 no action needed to be taken in respect of the matters investigated.

Disclosure and registration of interests: Conwy Town Council

Report date: 12/05/2021

Subject: CODE: Disclosure and registration of interests

Outcome: CODE

Case ref number: 202004765
Report type: CODE - Discontinued
Relevant body: Conwy Town Council

An Officer of Conwy Town Council complained that a Member had breached the Code of Conduct for Members when they failed to declare a personal and prejudicial interest in Council business and leave the room while that business was discussed at two Conwy Town Council meetings. The Member had previously been suspended for one month by the Standards Committee for similar conduct.

The Ombudsman considered whether the Member may have breached paragraphs 6(1)(a), 11(1), and 14(1)(a)(ii) of the Code of Conduct.

The Member resigned from Conwy Town Council during the course of the Ombudsman's investigation. The Ombudsman decided to discontinue the investigation as it was no longer in the public interest to pursue the matter further.

Disclosure and registration of interests: Conwy Town Council

Report date: 12/05/2021

Subject: CODE: Disclosure and registration of interests

Outcome: CODE

Case ref number: 202004764
Report type: CODE - Discontinued
Relevant body: Conwy Town Council

An Officer of Conwy Town Council complained that a Member had breached the Code of Conduct for Members when they failed to declare a personal and prejudicial interest in Council business and leave the room while that business was discussed at two Conwy Town Council meetings. The Member had previously been suspended for one month by the Standards Committee for similar conduct.

The Ombudsman considered whether the Member may have breached paragraphs 6(1)(a), 11(1), and 14(1)(a)(ii) of the Code of Conduct.

The Member resigned from Conwy Town Council during the course of the Ombudsman's investigation. The Ombudsman decided to discontinue the investigation as it was no longer in the public interest to pursue the matter further.

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APPENDIX 4

CODE OF CONDUCT - DUTY TO UPHOLD THE LAW

Duty to uphold the law: Llansantffraed Community Council

Report date: 24/06/2022

Subject: CODE: Duty to uphold the law

Outcome: CODE

Case ref number: 202004442

Report type: CODE - Referred to Standards Committee/APW

Relevant body: Llansantffraed Community Council

The Ombudsman received a complaint that a Member ("the Member") of Llansantffraed Community Council ("the Council") had breached the Code of Conduct. It was alleged that the Member was involved in an incident with a Council contractor ("the Contractor"), following which there was a Police investigation. The Member subsequently pleaded guilty to a charge of causing bodily harm by wanton and furious driving. The investigation considered whether the Member failed to comply with the following provision of the Code of Conduct: 6(1)(a) – Members must not conduct themselves in a manner which could reasonably be regarded as bringing their office or authority into disrepute.

A witness account was obtained from the Clerk and information was obtained from the Police and the Court. The Member declined to cooperate with the Ombudsman's investigation. The investigation found that the nature of the criminal offence involving the Council's Contractor, the impact upon the young boys hurt in the incident, and the publicity surrounding the incident, which referred to the Council, suggested that the Member's actions may have brought her office and the Council into disrepute and were suggestive of a breach of paragraph 6(1)(a) of the Code of Conduct. The report on the investigation was referred to the President of the Adjudication Panel for Wales for adjudication by a tribunal.

The Tribunal concluded that the Member had breached paragraph 6(1)(a) of the Code of conduct by bring their office as Councillor into disrepute. Accordingly, the Tribunal decided that the member should be disqualified for 12 months from being or becoming a member of the authority or of any other relevant authority.

Duty to uphold the law: Bodelwyddan Town Council

Report date: 06/09/2021

Subject: CODE: Duty to uphold the law

Outcome: CODE

Case ref number: 202100504

Report type: CODE - No action to be taken Relevant body: Bodelwyddan Town Council

The Ombudsman received a complaint that a Member ("the Member") of Bodelwyddan Town Council ("the Council") had breached the Code of Conduct ("the Code") when they shared confidential CCTV images on the Council's Facebook page in a post published in March 2021.

The Ombudsman investigated whether the Member's actions may have amounted to a breach of paragraphs 5(a) and 6(1)(a) of the Code.

The Ombudsman obtained relevant information from the Council and considered the Member's comments. The Ombudsman found that the Member had published a mostly obscured image from the Council's CCTV footage on the Council's Facebook page. As the CCTV images should reasonably be considered to be confidential and should not be shared publicly in this way, the Ombudsman considered that the Member's actions may be capable of amounting to a breach of paragraphs 5(a) and 6(1)(a) of the Code.

However, the Council had provided no training on the Code, the use of its social media, or the use of its CCTV to the Member. Moreover, the Council had no policies or procedures in place in relation to the use of its social media or the use of its CCTV. The Member had also apologised to their fellow Council members and removed the image quickly when requested. The Ombudsman therefore did not consider any further action to be necessary. He did, however, suggest that the Member seek training on the Code as soon as possible and that the Council should promptly consider whether it has appropriate CCTV, data protection, and social media policies and/or procedures in place.

APPENDIX 5

CODE OF CONDUCT - SELFLESSNESS AND STEWARDSHIP

Selflessness and stewardship: Newport City Council

Report date: 13/07/2021

Subject: CODE: Selflessness and stewardship

Outcome: CODE

Case ref number: 202001914

Report type: CODE - Referred to Standards Committee/APW

Relevant body: Newport City Council

The Ombudsman received a complaint from the Practice Manager of a GP Practice ("the Practice") in the area of the Aneurin Bevan University Health Board ("the Health Board"), that a Member ("the Member") of Newport City Council had failed to observe the Code of Conduct for Members.

It was alleged that the Member used their position as a member of the Council improperly when they advocated on behalf of a patient of the Practice.

The Ombudsman concluded that the Member had made 2 telephone calls to the Practice in which she sought to improperly rely on her position as a Member of the Council, and as a Council representative on the Health Board, in order to speak to an on-call doctor about the patient's healthcare. The Ombudsman also concluded that the Member had made a complaint to the Health Board containing information which was critical of the Practice staff and did not accurately reflect the content of the telephone conversations. The Ombudsman was of the view that the complaint was an attempt by the Member to use their position to undermine the actions of the Practice and create a disadvantage for it.

The Ombudsman therefore determined that the Member may have breached paragraph 7(a) of the Council's Code of Conduct for Members and referred his investigation report to the Monitoring Officer of Newport City Council for consideration by its Standards Committee.

The Standards Committee concluded that the Member had breached paragraph 7(a) of the Code of Conduct and suspended her for 3 months. It also recommended to the Council that she be removed from her position on the Health Board.

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AGENDA ITEM NO 9

Update Regarding the All Wales Standards Forum

-

To be presented verbally on the day

Presenting Officer: Geraint Thomas, T/ACO Corporate Services

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THIS REPORT IS NOT EXEMPT AND IN THE PUBLIC DOMAIN

SOUTH WALES FIRE & RESCUE AUTHORITY

AGENDA ITEM NO 10 3 OCTOBER 2022

STANDARDS COMMITTEE

REPORT OF HEAD OF CORPORATE SUPPORT

OUTCOME REPORT FOLLOWING THE CONSULTATION ON WELSH GOVERNMENT'S STANDARDS OF CONDUCT STATUTORY GUIDANCE

THIS REPORT IS FOR INFORMATION

REPORT APPROVED BY TEMPORARY DIRECTOR OF CORPORATE SERVICES

REPORT PRESENTED BY TEMPORARY DIRECTOR OF CORPORATE SERVICES – GERAINT THOMAS

SUMMARY

Welsh Government consulted on the draft guidance relating to standards of conduct under the Local Government and Elections (Wales) Act 2021. These duties include to:

- Promote and maintain high standards of conduct
- Co-operate with the Council's Standards Committee
- Provide advice and training
- Make an Annual Report

The consultation ran between February and May 2022.

RECOMMENDATION

It is recommended that Members note the content of the summary of responses to the consultation.

1. BACKGROUND

- 1.1 The provisions of the Local Government and Elections (Wales) Act 2021 "the Act" (Section 62) provides a new duty on the Leaders of political groups to take steps to promote and maintain high standards of conduct of their members.
- 1.2 The duty recognises that those in positions of leadership and influence within a principal council should have responsibility for combating bullying and harassment amongst elected members and council staff and must act as a positive role model.

2. ISSUE

- 2.1 The Local Government and Elections (Wales) Act 2021 ('the 2021 Act') provides a new and reformed legislative framework for local government elections, democracy, governance and performance.
- 2.2 Part 4 of the Local Government and Elections (Wales) Act 2021 places new duties on Leaders of political groups in principal councils relating to the promotion and maintenance of high standards of conduct by the members of the groups, contained in section 52A of the Local Government Act 2000 ('the 2000 Act'), inserted by section 62 of the 2021 Act, which relates to the promotion and maintenance of high standards of conduct by the members of the group.
- 2.3 It places new duties on Standards Committees in principal councils. Welsh Government consulted on the draft statutory guidance for Leaders of political groups in principal councils about how they should meet their new duties
- 2.4 The provisions in the 2021 Act provides a new duty on the leaders of political groups to take steps to promote and maintain high standards of conduct of their members. The duty recognises those in positions of leadership and influence within a principal council should have responsibility for combating bullying and harassment amongst elected members and council staff and must act as a positive role model.
- 2.5 Members of the Standards Committee are requested to note the content of the Consultation summary responses in Appendices 1 to 5.

3. IMPLICATIONS

3.1 Community and Environment

Equality, Diversity and Inclusion	No
Welsh Language	No
Well-Being Of Future Generations (Wales) Act	No
Socio Economic Duty	No
Sustainability / Environment / Carbon Reduction	No
Safeguarding	No
Consultation and Communications	No
Consultation with Representative Bodies	No
Impact Assessment	No

3.2 Regulatory, Strategy and Policy

Legal	No
Financial	No
Procurement	No
Corporate Risk	No
Information Management	No
Data Protection / Privacy	No
Health, Safety and Wellbeing	No
Governance & Audit	No
Service Policy	No
National Policy	No

3.3 Resources, Assets and Delivery

Human Resources and People Development	No
Assets and Resources (Property/Fleet/ICT/Equipment)	No
Service Delivery	No
Procurement	No
Budget Revenue/Capital	No

4. **RECOMMENDATION**

4.1 It is recommended that Members note the content of the summary of responses to the consultation.

Contact Office	Sarah Watkins				
		Head of Corporate Support			
Background Pa	apers	Date		Source/Contact	
None					
Appendices	Appendices				
Appendix 1	Consultation Summary Responses				

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Number: WG45676

Welsh Government

Consultation – Summary of Responses

Standards of conduct

Draft guidance for leaders of political groups in principal councils and standards committees

July 2022

Mae'r ddogfen yma hefyd ar gael yn Gymraeg.

This document is also available in Welsh.

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Overview

The Local Government and Elections (Wales) Act 2021 ("the 2021 Act") provides for the establishment of a new and reformed legislative framework for local government elections, democracy, governance and performance.

The standards of conduct provisions contained in Part 4 of the 2021 Act support the Welsh Government's wider commitment to equality and diversity in public life and the aim of an anti-racist Wales.

The duties will come into force from the start of the next local government electoral cycle, on 5 May 2022.

Action Required

This document is for information only.

Further information and related documents

Large print, Braille and alternative language versions of this document are available on request.

Contact details

For further information:

Local Government Democracy Division Welsh Government Cathays Park Cardiff CF10 3NQ

Email: LGDTMailbox@gov.wales

Additional copies

This summary of response and copies of all the consultation documentation are published in electronic form only and can be accessed on the Welsh Government's website.

Link to the consultation documentation: Consultation on the Local Government and Elections (Wales) Act 2021: standards of conduct statutory guidance [HTML] | GOV.WALES

1. Introduction

- 1.1 The Local Government and Elections (Wales) Act 2021 ('the 2021 Act') provides a new and reformed legislative framework for local government elections, democracy, governance, and performance.
- 1.2 The standards of conduct statutory guidance set out how leaders of political groups in principal councils should meet their duties contained in section 52A of the Local Government Act 2000 ('the 2000 Act'), inserted by section 62 of the 2021 Act, which relates to the promotion and maintenance of high standards of conduct by the members of the group.
- 1.3 The provisions in the 2021 Act support this culture by providing a new duty on the leaders of political groups to take steps to promote and maintain high standards of conduct of their members. The duty recognises those in positions of leadership and influence within a principal council should have responsibility for combating bullying and harassment amongst elected members and council staff and must act as a positive role model.
- 1.4 The duty is not intended to be the panacea and will not specifically cover everyone i.e., those members who do not belong to a political group, but it is designed to be proportionate and helpful. Its aim is to prevent or stop inappropriate behaviour before it escalates into a breach of the Code of Conduct, therefore its principles should apply to all.

2. Consultation

- 2.1 Councils must be places where an open culture thrives and people are made to feel welcome and respected, whatever their background, to encourage a more diverse range of people to seek elected office in local government. Standards of behaviour are key to this, and all members have a responsibility to act in a manner which respects and values all people.
- 2.2 Views were invited on the draft standards of conduct statutory guidance as part of a 12-week consultation which began on 21 February and closed on 16 May 2022. The consultation document was published on the Welsh Government's website. A total of 15 responses were received via the online guestionnaire.
- 2.3 Further detail on the draft standards of conduct statutory guidance can be found in the consultation document by clicking on the following link.

<u>Consultation on the Local Government and Elections (Wales) Act 2021: standards of conduct statutory guidance [HTML] | GOV.WALES</u>

3. Respondents

3.1 The respondents to the consultation can be grouped as follows:

Respondent Type	
Member of the Public	6
Principal Council	4
Fire & Rescue Authority	1
Other – respondents not providing an answer as to type	4
and/or skipping questions	

3.2 Of the 15 respondents to the consultation nine stated they wished to remain anonymous, and the other six respondents did not answer the question. Therefore, we have not released details of any of the respondents' identities.

4. Summary of Responses

4.1 This document is a summary of the 15 responses received via the online questionnaire. The report does not aim to capture every point raised by respondents, instead drawing out the key messages. Overall, most responses agreed with and were supportive of the draft guidance, with some respondents providing helpful comments and suggestions about how to increase clarity and scope and to ensure it was clear how political leaders would be able to demonstrate compliance with the guidance.

5. Consultation Questions

The consultation asked 5 questions as set out below.

Q1. Does the draft guidance make it clear what is expected of leaders of political groups in principal councils as set out in the provisions of Part 4 of the Local Government and Elections (Wales) Act 2021 in a way that can be understood by leaders of political groups in principal councils? If not, why not?

- 5.1 There were 13 responses to this question. While most respondents felt the draft guidance is clear on what is expected of leaders of political groups in principal councils the following points were made:
 - There is some concern it would be difficult to disprove any accusation the political group leader was not doing enough to promote and maintain high standards of conduct, should an allegation be made. Further, it is felt there is scope for the guidance to be interpreted differently by those to whom it applied.
 - The guidance relates very strongly to resolving issues within the political group, however not so strongly on resolving low level complaints where those involved are from different political groups. In such cases there is a need for political group leaders to work together to resolve the complaint.

- The guidance also needs to cover the position of independents, working alone and in collaboration with others.
- There is a view that leaders of political groups should be subject to the same reporting requirements as the Standards Committee, e.g., producing an annual report to at least outline what steps they have taken to maintain and improve high standards of conduct within their group. This, it was said, would have several benefits including a consistent approach across Wales and publicising the raising of standards across the council and Wales. The Standards Committee's report could then address any issues raised in the political leader's report, and the political leader's report could identify any concerning trends of behaviour that could be addressed by the Standards Committee.
- There should be an obligation on political leaders to report any serious concerns to the Standards Committee immediately, perhaps in an anonymised form, so the Standards Committee can provide support and advice.
- It was also highlighted that there will be a need for training of political group leaders to help them understand their responsibilities.

Q2. Does the draft guidance make it clear what is expected of Standards Committees in principal councils as set out in the provisions of Part 4 of the Local Government and Elections (Wales) Act 2021 in a way that can be understood by Standards Committees? If not, why not?

- 5.2 There were also 13 responses to this question. While half the respondents felt the draft guidance is clear on what is expected of Standards Committees in principal councils the following points were noted:
 - Further detail should be included on how the Standards and Ethics Committee will monitor the political leaders' groups compliance as there needs to be consistency and transparency across all local authorities and it is important to maintain the Standards Committees good relationship with leaders of political parties. The local resolution procedure is an important element of the standards regime and consideration should be given to codify this in law.
 - Another answer expressed concern that a duty to prepare a report to the Standards Committee by political group leaders may be considered onerous.
 - Members of Standards Committees should also have access to training to ensure a consistent interpretation of the guidance. A comment received on behalf of a Standards Committee stated their role could be strengthened by the introduction of mandatory training for all members. This should include more than the register of interests but the whole standards regime including the Nolan principals and local resolution of issues. Further, the response suggested that the Standards Committee members themselves should help to provide the training.
 - The guidance mentions the Standards Committee '... monitoring the operation of corporate maladministration complaint procedures'. It was thought this appeared to overlap with the terms of the Governance and Audit Committee and asked for clarification on this issue.
 - Clarification was sought as to whether the Standards Committee reports should be accessible to the public, and it was suggested that the reporting

- period should be 6 months with reports on different cycles so that they are not received all at once. This would also allow for lessons learned to be acted upon promptly.
- It was proposed that it might be useful to include specific examples in the guidance for both political group leaders and Standards Committees of what is meant by certain terminology and to give a better idea of what is expected of them.

Q3. We would like to know your views on the effects that the guidance would have on the Welsh language, specifically on opportunities for people to use Welsh and on treating the Welsh language no less favourably than English. What effects do you think there would be? How could positive effects be increased, or negative effects be mitigated?

- 5.3 There were 12 responses to this question where half considered the effect that the guidance would have on the Welsh language was neutral, had no effect, gave no change to current practice, were generally positive or the respondent decided not to comment at all. A summary of these comments is detailed below:
 - Other reactions were not strictly related to the effects of the guidance, but comments included that English should not be treated less favourably in non-Welsh speaking areas.
 - It would be a good idea to teach the Welsh population some short Welsh phrases to promote the Welsh language to everyone not just in schools.

Q4. Please also explain how you believe the guidance could be formulated or changed so as to have positive effects or increased positive effects on opportunities for people to use the Welsh language and on treating the Welsh language no less favourably than the English language, and have no adverse effects on opportunities for people to use the Welsh language, and on treating the Welsh language no less favourably than the English language.

- 5.4 There were 11 responses to this question. Almost two thirds considered the effect that the guidance would have on the Welsh language was neutral, had no effect, gave no change to current practice, were satisfactory or generally positive or where the respondent decided not to comment at all. A summary of the responses is listed below:
 - Both languages are equally valid and should be accorded the same respect.
 - There is a need to encourage all councils to comply with the legislation in relation to the use of the Welsh language. Translation services should always be available. Consideration should be given to encouraging the use of Welsh in councils on a Wales wide basis. It was suggested by one respondent that town councillors, ward councillors, MSs and MPs (in Wales) should all be able to speak basic Welsh or make it mandatory that they complete / pass a Welsh course. Also, a need to put in place a minimum qualification requirement in order to stand / be elected as town councillors and ward councillors.

There was a need to promote the Welsh language through the medium of mainstream TV, as there is not enough of the population who can speak it, we need to create positivity by increasing the numbers.

Q5. We have asked a number of specific questions. If you have any related issues which we have not specifically addressed, please use the consultation response to express your views.

- 5.5 There were 10 responses to this question. Three of these responded saying no comment, no point and nothing further. Of the others the key points not mentioned above are listed below:
 - The guidance is silent on the need for political group leaders to ensure the relationship between officers and members operates on a sound basis and that there is clarity and mutual respect for the different roles that officers, and members have.
 - It is unclear how effective these new duties will be in practice as the duties appear to rely on the willing co-operation of political group leaders and there appears to be few levers should any political group leader not discharge their duties as intended.
 - Councils that are led by independent leaders that are free of political oversight need to be held accountable legally by a framework that ensures that legal and corporate standards are upheld, for the good of the people and to ensure independent oversight.
 - One of the comments from a Standards Committee was that local resolution may formalise issues rather than more informal mediation and care should be taken to differentiate between the two solutions. However, local resolution and mediation add more responsibilities to the Standards Committees workload, and this will have a financial cost and the this will need to be considered.

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1.	Apologies	for Absence

2. Declarations of Interest

Members of the Fire & Rescue Authority are reminded of their personal responsibility to declare both orally and in writing any personal and/or prejudicial interest in respect of matters contained in this agenda in accordance with the provisions of the Local Government Act 2000, the Fire & Rescue Authority's Standing Orders and the Members Code of Conduct.

3. Chairperson's Announcements

4. To receive the minutes of:

•	Standards Committee held on Monday 7	5
	February 2022	

REPORTS FOR DECISION

5.	Review	of	Terms	of	Reference	of	the	Standards	1	•
	Committ	ee								

6.	Schedule of observation visits by Independent Members	1
	of Standards Committee to SWFRA Committees	

REPORTS FOR INFORMATION

7.	Member Training Delivered by Dave Daycock – to be	21
	presented verbally on the day	

8.	Public	Service	Ombudsman	for	Wales'	_	Code	of	23
	Conduc	ct Casebo	ook						

9.	Update regarding the All Wales Standards Forum – to	63
	be presented verbally on the day	

10.	Outcome report	following	the	consultation	on	Welsh	65
	Government's	Standards	0	f Conduct	St	atutory	
	Guidance.					-	